



State of Utah

DEPARTMENT OF COMMERCE

DIVISION OF CONSUMER PROTECTION

TELEMARKETING PERMIT APPLICATION FORM

Annual Application fee: \$250.00 (Non-refundable)

Applicant's Name

Date of Application

OFFICE USE ONLY

Date Issued: _____

Permit Number: _____

Approved: _____

Denied: _____

Expiration: _____

Please mark the appropriate box:

☐ INITIAL
APPLICATION

☐ RENEWAL
APPLICATION

If you have any questions, please contact the Division at (801) 530-6601.

Please return the completed application form and fee to:

Department of Commerce
Division of Consumer Protection
160 East 300 South
SM Box 146704
Salt Lake City, Utah 84114-6704

1. Applicant's name: _____

2. Applicant's address: _____

Street

City

State

Zip Code

Telephone Number

Facsimile Number

3. Applicant's previous business address(es) during the past ten (10) years.

Address City State Zip Code

Address City State Zip Code

Address City State Zip Code

4. Other names that Applicant does business under:

Name Address (if different) City State Zip Code

Name Address (if different) City State Zip Code

Name Address (if different) City State Zip Code

5. Surety requirement.

- a. Please mark the appropriate box indicating the type of surety being provided in satisfaction of U.C.A. § 13-26-3.

☐ Bond ☐ Letter of credit ☐ Certificate of Deposit

NOTE: Utah Code Annotated § 13-26-3(i) states: "If neither the telephone soliciting business nor any person affiliated with the telephone soliciting business at the time of application has been found in an administrative, civil, or criminal proceeding within three years of the application to have violated this chapter the amount shall be \$50,000; If the telephone soliciting business or any currently affiliated person has violated this chapter within three years preceding application the amount shall be \$75,000." A bond, certificate of deposit or letter of credit must be kept in force for one year after the Applicant notified the Division in writing that the Applicant has ceased all telemarketing or related activities.

- b. If a bond is being submitted, please indicate the following:

Amount of bond, letter of credit or certificate of deposit:

Date of bond: _____ Bond expires: _____

Name of Surety Company: _____

Address of Surety Company: _____

Telephone and fax number of Surety Company: _____

Registered on Treasury list: ☐ Yes ☐ No

- c. If a letter of credit or certificate of deposit is being submitted, please indicate the following:

Date of letter of credit: _____ Letter of credit expires: _____

Date of certificate of deposit: _____ Certificate of deposit expires: _____

Name of Utah Bank: _____

Address of Utah Bank: _____

Telephone and fax number of Utah Bank: _____

6. List the following information for all officers, directors, members, principals, and/or key employees (attach additional sheets if necessary).

Name: _____

Home Address: _____

Street

City

State

Zip Code

Home Telephone Number

Facsimile Number

Birth date and birth place

Social security number

Name: _____

Home Address: _____

Street

City

State

Zip Code

Home Telephone Number

Facsimile Number

Birth date and birth place

Social security number

Name: _____

Home Address: _____

Street

City

State

Zip Code

Home Telephone Number

Facsimile Number

Birth date and birth place

Social security number

7. List all valid licenses or permits issued in Applicant's name (include license numbers, dates of license, expiration dates and the state or other agency which issued the license):

8. List any work cards, business licenses or trade licenses revoked, suspended or cancelled for any reason (include agency, date of license and reason):

9. Has any officer, director, member, principal, and/or key employee ever been convicted of racketeering or any offense involving fraud, theft, embezzlement, fraudulent conversion of property or misappropriation of property?

☐ No ☐ Yes

If "yes", explain when and where conviction occurred, nature of conviction, and status of case (including court name and docket number).

10. Has any officer, director, member, principal, and/or key employee had a final judgment or order, including stipulated judgment or order, in any civil or administrative action involving racketeering fraud, theft, embezzlement, fraudulent conversion of property or misappropriation of property, the use of any misleading or untrue representation in any attempt to sell or dispose of real or personal property, or the use of any unfair or deceptive trade practice? ☐ No ☐ Yes

If "yes", explain when and where the judgment or order was entered, nature of the judgment or order, and status of case (including court name and docket number).

11. Is any officer, director, member, principal, and/or key employee currently the subject of any injunction or other order relating to a business activity as a result of any action brought by a federal, state, or local agency, including any action affecting any license to do business or practice any trade or occupation?

☐ No ☐ Yes

If "yes", explain when and where the injunction or other order was entered, nature of the injunction or other order, and status of case (including court name and docket number).

12. State the address for each location where telephone numbers are to be dialed and give the name and telephone number of the contact person for each location

_____	_____
Contact person	Telephone number

_____	_____	_____	_____
Address	City	State	Zip Code

_____	_____
Contact person	Telephone number

_____	_____	_____	_____
Address	City	State	Zip Code

_____	_____
Contact person	Telephone number

_____	_____	_____	_____
Address	City	State	Zip Code

13. Provide the following information for Applicant's Registered Agent:

Name

Street Address

_____	_____	_____
City	State	Zip Code

_____	_____
Telephone Number	Facsimile Number

NOTE: The registered agent authorized by the Applicant to receive service of process must reside in this state. The failure of an Applicant to designate an agent to receive service or the failure to appoint a successor to the agent shall result in suspension of the registration or a denial of an initial or renewal registration.

14. Identify the goods or services that are to be the subject of Applicant's telephone solicitation: _____

15. Provide copies of the following (application is not complete without providing these copies):

- a. All scripts to be used in the telephone solicitation; and
- b. Articles of Incorporation or other organizational documents that show Applicant's current legal status.

By signing this application, the undersigned certifies that the information provided herein is true and correct.

DATED: _____

APPLICANT:

BY _____
ITS